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Saltwater intrusion into groundwater systems in the Mekong Delta and links to global change

Han Xiao, Yin Tang, Hai-Ming Li, Lu Zhang, Thanh Ngo-Duc, De-Liang Chen, Qiu-Hong Tang

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1 Review Article

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5 Han Xiao¹, Yin Tang², Haiming Li¹, Lu Zhang³, Thanh Ngo-Duc⁴, Deliang Chen⁵,

- 6 Qiuhong $Tang^{2,6^*}$
- College of Marine and Environmental Sciences, Tianjin University of Science
 & Technology, Tianjin, China
- 9 2. Key Laboratory of Water Cycle and Related Land Surface Processes, Institute
- of Geographic Sciences and Natural Resources Research, Chinese Academy of
 Sciences, Beijing, China
- 12 3. CSIRO Land and Water, Canberra, ACT 2601, Australia
- 13 4. Department of Space and Aeronautics, University of Science and Technology
- 14 of Hanoi, Vietnam Academy of Science and Technology, Hanoi, Vietnam
- Regional Climate Group, Department of Earth Sciences, University of
 Gothenburg, Gothenburg, Sweden
- 17 6. University of Chinese Academy of Sciences, Beijing, China
- 18 *Corresponds to <u>tangqh@igsnrr.ac.cn</u>
- 19
- 20 Declarations of interest: None

1 Saltwater intrusion into groundwater systems in the Mekong

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XIAO Han¹, TANG Yin², LI Hai-Ming¹, ZHANG Lu³, NGO-DUC Thanh⁴, CHEN
 De-Liang⁵, TANG Oiu-Hong^{2,6*}

College of Marine and Environmental Sciences, Tianjin University of Science & Technology, Tianjin, 300457, China

Key Laboratory of Water Cycle and Related Land Surface Processes, Institute
 of Geographic Sciences and Natural Resources Research, Chinese Academy of

- 10 Sciences, Beijing, 100101, China
- 11 3. CSIRO Land and Water, Canberra, 2601, Australia
- Department of Space and Aeronautics, University of Science and Technology of Hanoi, Vietnam Academy of Science and Technology, Hanoi, 10000, Vietnam
- Regional Climate Group, Department of Earth Sciences, University of
 Gothenburg, Gothenburg, 41296, Sweden
- 17 6. University of Chinese Academy of Sciences, Beijing, 100049, China
- 18 *Corresponding author: Key Laboratory of Water Cycle and Related Land Surface
- 19 Processes, Institute of Geographic Sciences and Natural Resources Research, Chinese
- 20 Academy of Sciences, Beijing, 100101, China. <u>tangqh@igsnrr.ac.cn</u> (Tang Q.-H)
- 21

22 Abstract

23 In recent decades, changes in temperature, wind, and rainfall patterns of Southeast Asia induced by climate warming in the Tibetan Plateau result in many environmental 24 25 changes that have serious impacts on the lower reach of the Mekong River basin, a region already battling severe water-related environmental problems such as pollution, 26 saltwater intrusion, and intensified flooding. In the densely populated Mekong Delta 27 located at the mouth of the Mekong River basin in southern Vietnam, the 28 29 hydrogeological systems have been transformed from an almost undisturbed to a 30 human-impacted state and saltwater intrusion into surface water and groundwater 31 systems has grown to be a detrimental issue recently, seriously threatening freshwater 32 supply and degrading the eco-environment. In this article, the impacts of human activities and climate change (e.g., groundwater over-exploitation, relative sea-level 33 34 rise, storm surge, changing precipitation and temperature regimes, uncontrolled

drainage canals, operation of hydropower dams, and rapid development of 35 aquaculture) on saltwater intrusion into groundwater systems in the Mekong Delta are 36 briefly reviewed. Based on current status of research findings regarding saltwater 37 intrusion and the subsequent groundwater quality degradation under the impacts of 38 human activities and climate change, major knowledge gaps and challenges are 39 40 identified and discussed, including thickness and permeability of the silt and clay 41 aquitard, present-day highly heterogeneous 3D distribution of saline groundwater 42 zones, dynamic variation of saltwater/freshwater transition zone, and the most effective and economical control measure. To bridge these gaps, future work should: 43 1) apply environmental isotope techniques in combination with borehole tests to gain 44 detailed hydrogeological information regarding spatial variation of permeability and 45 thickness of the silt and clay aquitard; 2) intensify regular groundwater monitoring 46 47 and collect as much groundwater samples from multiple hydro-stratigraphic units at different depths as possible to visualize the present-day highly heterogeneous 3D 48 distribution of saline groundwater; 3) develop a series of variable-density coupled 49 groundwater flow and salt transport models representing various scenarios of human 50 51 activities and climate change for predicting future extent of saltwater intrusion; and 4) 52 identify the dominant factor causing saltwater intrusion and determine the most effective and economical engineering technique to address saltwater intrusion 53 54 problems in the Mekong Delta.

Keywords : Human activity; Climate change; Saltwater intrusion; Groundwater system;
Mekong Delta (southern Vietnam)

57 **1. Introduction**

The Mekong River stretches nearly 5000 km flowing from its source located at the 58 59 Three Rivers Source National Nature Reserve high in the Tibetan Plateau in China 60 along the borders of Myanmar, Laos and Thailand, through Cambodia and ending in Vietnam, forming the Mekong Delta (MKD) located at the mouth of the complex 61 62 Mekong River basin in the southern part of Vietnam. The Tibetan Plateau, also often called the Third Pole, is considered the water tower of Asia because it is the source of 63 64 many major Asian rivers. According to the Mekong River Commission for Sustainable Development¹, the upper reaches of the Mekong River are in the Tibetan 65 Plateau and much of the upper Mekong River basin is snow-covered in winter while 66 melting snow feeds the streamflow in the middle and lower reaches. Based on 67 meteorological station data and remote sensing, the Tibetan Plateau is experiencing 68 rapid warming and is currently in its warmest period in the past two thousand years 69 and will continue to warm in future, which facilitates intense and broad glacier 70 71 melting accompanied by lake expansion and water cycle intensification over the 72 region (Liu and Chen, 2000; Chen et al., 2019; Yao et al., 2019). Due to significant

¹ https://www.mrcmekong.org/about/mekong-basin/climate/

warming and aridity change, severe environmental problems such as glacier retreat 73 (Yao et al., 2004), desertification (Gao et al., 2015), precipitation increasing (Zhang et 74 al., 2019), and intensified geo-hazards such as landslides and active debris flows (Wei 75 et al., 2018) have been observed in the Tibetan Plateau in recent decades. Climate 76 77 warming in the Tibetan Plateau affects the temperature, wind, and rainfall patterns of 78 South Asia, resulting in many environmental changes greatly aggravating ecological problems in the lower reach of the Mekong River basin, a region already battling 79 water pollution, saltwater intrusion, loss of aquatic biodiversity, and rising 80 susceptibility to flooding (Kang et al., 2010; Yao et al., 2012; Chen et al., 2015). The 81 changes in glacier and river streamflow on the Tibetan Plateau will lead to cascading 82 impacts in downstream areas including the MKD (Tang et al., 2019a, 2019b). 83 According to the statistical data from General Statistics Office of Vietnam², the MKD 84 is home to about 18 million people and produces about 56% of national rice vields 85

which contributes substantial portion of national GDP (Vu et al., 2014). The surface 86 water systems comprised of natural rivers (the Hau River, the Tien River, the Vam Co 87 River, etc.) and artificial canals encounter serious problems of saltwater intrusion 88 89 (SWI) especially during the dry season from November to April. Surface water 90 salinity measurements at different locations in the dry season showed an annual 91 increase of 0.2‰ over the last two decades (Eslami et al., 2019), and the situation is even worse in coastal region, since samples taken from coastal region showed higher 92 salinity level (chloride concentration ranging from $8-9 \text{ kg m}^{-3}$) in comparison to 93 those taken from inland region (chloride concentration ranging from $1-6 \text{ kg m}^{-3}$) 94 95 (Pearse-Smith, 2012; An et al., 2014). For this reason, consumptive use of surface water becomes greatly limited, while groundwater serves as the primary freshwater 96 supply source, meeting over 80% freshwater demand for agricultural, aquacultural, 97 98 industrial, and domestic utilizations (Brennan, 2000; Bui et al., 2017). However, the occurrence of SWI into the groundwater systems in the MKD has been observed 99 100 recently under the impacts of human activities and climate change, and has been recognized as a significant challenge to sustain the ever-increasing freshwater demand 101 in this region, posing a great threat to freshwater supply and eco-environment safety 102 (Smajgl et al., 2015; Nguyen et al., 2019; Tran et al., 2020). 103

104 In the Anthropocene, the terrestrial water cycle is undergoing rapid changes under the influence of multiple global change factors including climate change, land use/cover 105 106 change, and human water use (Tang, 2020). As anthropogenic disturbance has reached unprecedented levels, global change can cause cryosphere melt on the 107 Tibetan Plateau, hydrologic change in the river source regions, accelerated water 108 resources development, groundwater pumping, and seawater intrusion. Globally, SWI 109 into groundwater systems has been widely recognized as a critical issue of public 110 concern, and many researchers worldwide have provided thorough reviews regarding 111 112 its mechanism, pathway, and scope in Africa (Steyl and Dennis, 2010), Australia

² http://www.gso.gov.vn

113 (Werner, 2010), China (Shi and Jiao, 2014), Europe (Custodio et al., 2010), North

114 America (Barlow and Reichard, 2010), Pacific Islands (White and Falkland, 2010),

and South America (Bocanegra et al., 2010). The worldwide occurrence of SWI into

116 groundwater systems are mainly induced by human activities (e.g., groundwater

117 over-exploitation) and climate change (e.g., sea-level rise, storm surge,

118 precipitation/recharge change, etc.) (Michael et al., 2013), and the main pathways of

119 SWI include: 1) lateral intrusion from the sea and/or the surrounding saline

120 waterbodies; 2) downward intrusion from overlying saltwater due to storm- and/or

tidal-driven seawater flooding in coastal low-lying areas; and 3) upward intrusion

122 from deep saline groundwater zones due to upconing beneath pumping wells (Bear et

123 al., 1999; Yu et al., 2016, 2019).

Groundwater plays a key role in sustaining the communities and economies in most 124 coastal areas in the MKD since groundwater is of great importance for water supply in 125 populated cities and rural areas devoid of other reliable freshwater sources. 126 Groundwater utilization has exponentially increased since the 1990s, resulting in 127 128 groundwater depletion and water quality deterioration (e.g., SWI). Monitoring, 129 control, and management work regarding SWI into groundwater systems have been initialized in the MKD, including theoretical studies, analytical analyses, laboratory 130 tests, field experiments, as well as engineering measures. Although SWI problems 131 have been of great interest for many researchers, there is a lack of an overview of this 132 issue in the MKD. The objective of this study is to review recent research progresses 133 upon SWI into groundwater systems in the MKD (southern Vietnam) in Southeast 134 135 Asia in the context of human activities and climate change impacts, aiming at gaining a comprehensive and interdisciplinary understanding of their contributions. This 136 review specifically focuses on: 1) hydro-climatic and hydrogeological characteristics 137 138 of the MKD; 2) impacts of groundwater over-exploitation, relative sea-level rise, storm surge, changing precipitation and temperature regimes, uncontrolled drainage 139 canals, operation of hydropower dams, and rapid development of aquaculture on SWI 140 into groundwater systems in the MKD as well as their relative significance; and 3) 141 current status of knowledge gaps and challenges in urgent need to be addressed 142 regarding SWI studies in the MKD. 143

144

145 **2. Hydro-climatic and hydrogeological characteristics**

The MKD covering a total land area about 40,816.4 km² of fertile alluvial plain is
located at the mouth of the complex Mekong River basin in southern Vietnam (see
Fig.1), bounded by the South China Sea to the east and south, the Gulf of Thailand to
the west, and the Cambodian border to the north (Tanabe et al., 2003; Carling, 2009).
The MKD has very low land surface elevation ranging from 0.3–2.0 m above mean

- sea-level with very small topographic variation except for a few hilly areas and high
- 152 coastal dunes existing in Kien Giang province, and is classified as a wave-influenced
- and tide-dominated delta (Ta et al., 2005; Eslami et al., 2019).



Fig. 1. Location of the Mekong Delta (MKD) in southern Vietnam (Line A–A'
shows the location of the hydrogeological cross-section in Fig. 2).



Fig. 2. Hydrogeological formations of the MKD with the interpretation of the main
hydro-stratigraphic units (modified after Minderhoud et al., 2017).

The climate of the region is classified as tropical monsoon with two different 160 monsoonal seasons influenced by: 1) the Southwest monsoon in the wet season from 161 May to October, which brings more than 90% amount of annual rainfall (1300-2500 162 mm); and 2) the Northeast monsoon in the dry season from November to April. 163 Relatively high temperature occurs during March–September with the highest average 164 monthly temperature of around 28-29 °C in April-May. Relatively low temperature 165 166 occurs during October-February with the lowest average monthly temperature of around 24–26 °C in December–January (Nguyen and Nguyen, 2004; Phan et al., 2009; 167

168 Ngo-Duc et al., 2014).

169 The hydrogeological framework and the characteristics of each hydro-stratigraphic

- unit in the MKD were described in detail in Wagner et al. (2012). In general, the
- 171 hydrogeological settings are very complex and the highly heterogeneous subsurface
- 172 structures of intersecting aquifers and aquitards are presented due to active faulting
- and repetitive transgression and regression of sea-level as well as the
- 174 regression/transgression phases of sea-level changes and the subsequent
- sedimentation/erosion processes over the past several millennia. The sedimentary
- 176 deposits of various geological/hydrogeological formations in the MKD can be
- 177 classified into eight groups as shown in Fig. 2. In addition to the qh group, each group
- 178 can be further divided into two parts. The upper part is low permeable which is
- 179 primarily composed of silt, clay or silty clay, and the lower part is permeable which is
- primarily composed of fine to coarse sand, gravel, and pebble with medium to high
- 181 water yield.

In the following text, shallow groundwater systems within the qh aquifer are 182 abbreviated as SGW, and deep groundwater systems within the qp_3 , qp_{2-3} , qp_1 , n_2^2 , n_2^1 , 183 n_1^3 , and n_1^{2-3} aquifers are abbreviated as DGW. Isotopic studies indicated that: 1) 184 SGW are closely connected with surface water systems and are replenished by 185 infiltrated rainwater; 2) DGW are isolated with SGW and were mostly recharged 60-186 187 12 ka before present when mean sea-level reached its lowest stage and the overlying silt and clay aquitard was relatively permeable; 3) interactions between SGW and 188 DGW at present are very limited and DGW receive little groundwater recharge since 189 the sedimentation process during the Holocene reduces the permeability of the silt and 190 clay aquitard gradually; 4) SGW is mostly classified as Na-Cl type (brackish or 191 saline); and 5) DGW is mostly classified as Ca-HCO₃ type (fresh). Since the 1990s, 192 deep groundwater extracted from some parts of the qp_3 aquifer and most parts of the 193 194 qp_{2-3} and qp_1 aquifers has become to serve as preferable freshwater source to meet households, agricultural, and industrial demands especially in coastal areas where 195 surface water is serious salinized and cannot be utilized directly. 196

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198 3. Human activities and climate change impacts on SWI into

199 groundwater systems

200 3.1 Groundwater over-exploitation

201 Prior to the 1990s, groundwater withdrawal in the MKD was very limited as the freshwater demand was relatively low, and water tables of SGW varied from 0–2 m 202 203 above mean sea-level and potentiometric levels of DGW were even several meters above mean sea-level (Bui et al., 2017). Due to the rapid development of agriculture, 204 aquaculture, and manufacture since the 1990s, an ever-increasing freshwater demand 205 for domestic use, upland crops irrigation, aquacultural use, and industrial purposes has 206 led to extensive deep groundwater extraction (Wagner et al., 2012). The total quantity 207 of groundwater withdrawal from large consumptive-use wells as well as small 208 household wells reached approximately $2.5 \times 10^6 \text{ m}^3 \text{d}^{-1}$ in 2015, which is 25 times 209 greater than the amount of groundwater withdrawal in 1990 (Minderhoud et al., 2017). 210 Consequently, a continuous lowering of potentiometric levels of the qp_3 , qp_{2-3} , and 211 qp_1 aquifers (especially the qp_{2-3} aquifer) with an annual-average decline rate of 0.26 212 m (ranging from 0.09 to 0.78 m) was observed based on time-series data from 79 213 nested monitoring wells at 18 locations in the MKD (Bui et al., 2017; Erban et al., 214 2014). Observed data from monitoring wells indicated significant decline of 215 potentiometric levels throughout much of the MKD since the 1990s (see Fig. 3), 216 resulting in formation of depression cones located around major cities and industrial 217 regions with extensive pumping well density and groundwater extraction (e.g., Bac 218

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Lieu, Ca Mau, Soc Trang, etc.) (Fig. 4). Note that the decline of potentiometric levels

- 220 is almost irreversible and natural recharge from infiltrated rainwater and other
- recharge sources cannot reverse the decline trend in that: 1) DGW cannot obtain
- downward groundwater flow because of the presence of the delta-wide silt and clay
- aquitard; and 2) DGW obtain limited lateral groundwater flow within relatively short
- 224 period of time since the recharge areas are located outside the national border in
- 225 Cambodia (Hoang and Baumle, 2019). Continuous lowering of potentiometric levels
- due to extensive pumping reduces freshwater hydraulic pressure in coastal aquifers
- and interrupts the dynamic balance between saline and fresh groundwater, resulting in
- the occurrence of SWI into DGW laterally from the sea.





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Fig. 3. Dynamic variation of potentiometric levels (Wells 2-3-1, 2-3-2, 2-3-3, 2-3-4,

and 2-3-5 monitoring potentiometric levels of the qp_{2-3} aquifer and Wells 1-1 and 1-2 monitoring potentiometric levels of the qp_1 aquifer) (modified after Minderhoud et al.,

235 2017).



Fig. 4. Decline of potentiometric levels (drawdown) among the qp_3 , qp_{2-3} , and qp_1 aquifers (interpolated from monitoring wells (marked as red dots) data) since 1990s and locations of the depression cones (modified after Erban et al., 2014).

240 3.2 Relative sea-level rise

The concepts of 'relative sea-level rise' (sea-level rise compared with land surface) 241 and 'absolute sea-level rise' (sea-level rise compared with level surface) were applied. 242 Relative sea-level rise is referred to a combination of absolute sea-level rise (resulting 243 from thermal expansion of seawater as well as melting of ice sheets and glaciers 244 driving by global warming), pumping-induced land subsidence (resulting from 245 reduction of porewater pressure and compaction of sedimentary layers), natural 246 settling of land surface, and reducing sediment accretion so that relative sea-level rise 247 248 rate is usually greater than absolute sea-level rise rate (Sun et al., 2012). Based on satellite altimetry which monitors changes in sea surface height globally, 249 annual-average rate of absolute sea-level rise was estimated to be 0.32 [0.28–0.36] cm 250

per year (IPCC, 2013). The sea-level in the coast of southern Vietnam is projected to 251 rise by 22 [13-32] cm and 25 [17-35] cm by 2050 under the RCP4.5 and RCP8.5, 252 respectively (Katzfey et al., 2014; MONRE, 2016). Based on time-series data from 79 253 nested groundwater level monitoring wells at 18 locations in the MKD, 254 255 annual-average pumping-induced land subsidence rate was estimated to be 1.6 [1-4]256 cm (Bui et al., 2017). By analyzing 78 ALOS PALSAR interferograms from InSAR, Erban et al. (2014) further estimated the annual-average subsidence rate between the 257 years of 2006 and 2010 and found that: 1) much of the MKD was experiencing a 258 widespread land subsidence of 1-4 cm per year; 2) a huge subsidence bowl was 259 formed centered on Ho Chi Minh city located at the northeast corner of the MKD with 260 a subsidence rate over 4; 3) 0.88 [0.35-1.4] m land subsidence and 1.0 [0.4-1.6] m 261 262 additional inundation hazard were expected by the year of 2050 if groundwater 263 withdrawal continues at present rates; and 4) pumping-induced land subsidence posed a severe flood inundation hazard compounded by the threat of absolute sea-level rise 264 because most places in the MKD was less than 2 m above mean sea-level. 265 Minderhoud et al. (2017) simulated groundwater drawdown and land subsidence in 266 the MKD and simulation results (Fig. 5) indicated that pumping-induced subsidence 267 268 rates in the MKD continuously increased over the past 25 years from 1991 to 2015 with present rate (delta-wide average of 1.1 cm per year, with areas surpassing 2.5 cm) 269 270 exceeding local rate of absolute sea-level rise by an order of magnitude (0.3 cm). Minderhoud et al. (2017) also pointed out that the alarming subsidence rate may 271 increase further and pumping-induced land subsidence contributed much more to 272 coastal lowland inundation than absolute sea-level rise given that much of the MKD 273 274 land surface is less than 2 m above mean sea-level. Minderhoud et al. (2018) 275 quantified the subsidence rates under various land use and land cover patterns in the MKD and pointed out that the amplifying land subsidence processes over the past 276 several decades were not merely induced by groundwater over-exploitation, but 277 large-scale urbanization-induced land-use changes which increase surface loading 278 contributed as well. Relative sea-level rise increases seawater hydraulic pressure and 279 shifts the dynamic balance between saline and fresh groundwater, resulting in the 280 occurrence of SWI into SGW and DGW laterally from the sea. Moreover, elevation 281 loss resulting from relative sea-level rise can: 1) increase flood and storm surge 282 vulnerability causing the MKD being likely to experience more frequent and 283 prolonged saltwater inundation periods; and 2) increase SWI into the estuaries and the 284 dense network of surface waterways, resulting in the occurrence of SWI into SGW 285 286 and DGW vertically from saline surface water.



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Fig. 5. Simulated cumulative pumping-induced land subsidence from 1991 to 2016
(a); (b) simulated pumping-induced land subsidence rate in the year of 2015 (modified after Minderhoud et al. (2017)).

292 **3.3 Storm surge**

The number of tropical cyclones that made landfall in southern Vietnam was about
one-fourth of those which approached the northern and central parts, and the disasters
brought by tropical cyclones were perceived to be less in southern Vietnam than in

northern and central Vietnam (ADPC, 2003). However, it does not necessarily mean 296 that the coastal areas in southern Vietnam are less vulnerable against tropical cyclones. 297 For estimating the changing seawater elevation due to storm surge, Takagi et al. (2012) 298 performed a series of numerical simulations for five selected tropical cyclones 299 300 approaching southern Vietnam during 1951–2010, and found that storm surge heights 301 at the Mekong River mouth were calculated to be 0.05 m (Typhoon Tilda, Nov. 1954), 0.30 m (Typhoon Lucy, Nov. 1962), 0.09 m (Tropical Storm Thelma, Nov. 1973), 302 0.70 m (Severe Tropical Storm Linda, Oct. 1997), and 0.39 m (Typhoon Muifa, Nov. 303 2004), respectively. For estimating the highest surge heights caused by the most 304 severe tropical cyclone during the period from 1951 to 2010 (Tropical Storm Linda 305 occurred in Oct. 1997), Tung et al. (2019) developed a storm surge model along the 306 307 coast of MKD using nested two-dimensional depth-averaged models of Delft3D-Flow 308 and MIKE21 considering various hydro-meteorological factors including typhoon characteristics (wind speed, landfall location and orientation, forward-movement 309 speed), tide, sea-level rise and waves, and simulation results showed the highest surge 310 height that occurred when the tropical cyclone landed was in the order of 1.5 m. 311

Due to the low-lying nature and flat topography, storm surge brought by typhoons and tropical cyclones can substantially increase the vulnerability of coastal areas being frequently inundated, even if surge heights might be relatively small and dikes have been built along many parts of the MKD. However, the risk of storm surge brought by tropical cyclones has not received significant attention yet and the risk of a combination of flooding (frequently-occurred) and storm surge are often neglected currently in the MKD.

The frequency of tropical cyclones in the South China Sea experienced a decreasing 319 320 trend from 1961 to 2010 (Lee et al., 2012), and the annual number of their landfalls over Vietnam during 1949–2014 also decreases (Huang et al., 2017). Over the 321 322 Western Pacific, the number of tropical cyclones has decreased and is projected to decrease in future (Herrmann et al., 2020), whereas the number of major typhoons has 323 significantly increased (Emanuel, 2005; Holland and Bruyère, 2014). Due to the 324 characteristics of low altitude and flat topography as well as land subsidence and 325 326 sea-level rise in the MKD, an increase in both the frequency and magnitude of 327 typhoons over the western Pacific would bring more frequent and intense storm surges sweeping over coastal MKD, resulting in coastal MKD being at high risk of 328 329 being temporary or permanent inundated by seawater where coastal defense infrastructure are lacking or improperly maintained. In fact, the subsiding MKD is 330 experiencing more frequent and prolonged periods of coastal lowland inundation and 331 this trend has grown apparently in Can Tho city and Ho Chi Minh city (Huong and 332 Pathirana, 2013; Takagi et al., 2016). 333

Storm-surge-driven saltwater flooding in coastal low-lying areas not only causes
salinization problems for surface water and soil, but also substantially causes SWI
into SGW, since stagnant saltwater trapped in the topographic depressions can

infiltrate into the root zone forming saltwater plumes moving downwards and 337 reaching water table eventually (Xiao et al., 2018). Storm-surge-driven saltwater 338 flooding in coastal low-lying areas may not cause SWI into DGW in short- to 339 mid-term in that the interactions between shallow and deep groundwater are greatly 340 341 limited primarily due to the presence of the silt and clay aquitard. However, it is 342 worth noting that abandoned deep wells breaching the aquitard suffered from physical damages of well casing are in high risk of being inundated when storm surge sweeps 343 over and can act as point sources of downward SWI into DGW by transmitting 344 overtopping saltwater directly from land surface to aquifers so that engineering 345 measures such as backfilling of the damaged and abandoned production wells are 346 necessary. 347

348 **3.4 Changing temperature and precipitation regimes**

In general, the temperature in the MKD increased by 0.36 ± 0.13 °C per decade during 349 the period 1971–2010 (Nguyen et al., 2014). The temperature in Vung Tau and Ca 350 Mau located at coastal MKD increased by 0.24 °C and 0.28 °C per decade during the 351 period 1960-2011 (Ngo-Duc, 2014a). Based on the Special Report on Emission 352 353 Scenarios (SRES) which considered the impacts from emission of greenhouse gas and aerosol (IPCC, 2000; IPCC, 2007), the temperature in the MKD was projected to 354 increase by greater than 1.2 °C by the year of 2050 under IPCC A1B scenario 355 (Ngo-Duc et al., 2014) and by 1.4 °C and 2.6 °C by the year of 2100 under the B1 and 356 357 A2 scenarios, respectively (MONRE, 2009). Based on the Representative Concentration Pathways (RCP) scenarios provided by the IPCC 5th Assessment 358 Report which considered the impacts from emission of greenhouse gas and aerosol as 359 360 well as the impacts from changes in land use and land cover (IPCC, 2013), the annual-average temperature in the MKD was projected to increase by 1.5-1.6 °C and 361 2.9–4.9 °C by the year of 2100 under RCP4.5 and RCP8.5 scenarios, respectively 362 (Shrestha et al., 2016; MONRE, 2016). Higher irrigation demand resulting from 363 higher crop water requirements due to warmer temperatures may increase deep 364 groundwater withdrawal for agricultural use, causing SWI into DGW in the MKD. 365

366 There is a slight increasing trend but insignificant for precipitation in the MKD during the recent past decades (Endo et al., 2009; Ngo-Duc et al., 2014a; Nguyen et al., 367 2014). Changes in future precipitation regimes in the MKD have been projected in 368 several studies (MONRE, 2009, 2012, 2016; Ngo-Duc, 2014; Ngo-Duc et al., 2014; 369 Shrestha et al., 2016; Tangang et al., 2018, Trinh-Tuan et al., 2019; Supari et al., 2020; 370 Tangang et al., 2020). However, there are high uncertainties within the different 371 rainfall projections, since some studies projected an increase in annual rainfall 372 (MONRE, 2009, 2012, 2016; Shrestha et al., 2016) while others projected a decrease 373 (Trinh-Tuan et al., 2019; Supari et al., 2020; Tangang et al., 2020). Seasonal changes 374 in rainfall were projected to be different for the wet and dry seasons, and the wet 375 season was projected to become even wetter while the dry season become even drier 376

(Shrestha et al., 2016; Trinh-Tuan et al., 2019). In the wet season, mean infiltrated 377 rainwater (28 mm per year) was projected to remain unchanged throughout the 21st 378 century although an increase of rainfall was projected (increase by 1.9% and 6.4% 379 under RCP4.5 and RCP8.5 scenarios) mainly because rainfall would come in fewer 380 but more intense events so that higher proportion of rainwater would be lost in the 381 382 form of runoff rather than being absorbed (Shrestha et al., 2016). In the dry season, mean infiltrated rainwater (9 mm per year) was projected to decrease 2 and 4 mm per 383 year under the RCP4.5 and RCP8.5 scenarios, respectively, since a decrease of 384 rainfall (decrease by 0.75% and 2.1% under RCP4.5 and RCP8.5 scenarios) was 385 projected (Shrestha et al., 2016). Infiltrated rainwater is very useful to replenish and 386 renew shallow groundwater and prevent the occurrence of SWI into SGW by 387 388 diluting/flushing saltwater and generating an effective freshwater hydraulic barrier. 389 The projected decrease in infiltrated rainwater resulting from changes in precipitation regimes would exacerbate the extent of SWI into SGW, especially in the dry season. 390

391 **3.5 Uncontrolled drainage canals**

With the main purpose of developing agriculture and transportation, an extensive 392 393 drainage canal network was developed in the MKD on a basis of numerous canals/channels constructed after 1975 (Shrestha et al., 2016). Dense drainage canals 394 lacked of gated control structures to maintain canal stages greatly contribute to: 1) 395 draining shallow groundwater and lowering water table; 2) inducing seawater 396 397 propagating even 40–60 km inland especially during the dry season; and 3) causing SWI into SGW laterally from salinized drainage canals especially during the dry 398 season (Tuan et al., 2007). 399

400 **3.6 Operation of hydropower dams**

The Mekong River basin has cascades of dams in place or planned. To date there are 401 364 dams in the Mekong basin of which 241 existing, 29 under construction, 91 402 planned, and 3 been cancelled (WLE, 2020). The impacts of dams on MKD 403 hydrodynamics were marginal until 2010, and increased noticeably due to addition of 404 new dams (Shin et al., 2020). It was indicated that new dam constructions could 405 406 explain about 62% of the changes in the annual streamflow during 2010-2014, whereas climate change used to contribute over 80% of the changes during 407 1992–2009 (Li et al., 2017). Operations of upstream dams can help increase 408 streamflow in the dry season and reduce streamflow in the wet season (Li et al., 2017; 409 Pokhrel et al., 2018). From hydrological perspective, increasing streamflow in dry 410 season could help prevent seawater propagating upstream, reduce downstream salinity 411 412 level, maintain water table, and limit the extent of SWI into SGW (Smajgl et al., 2015). However, operations of upstream dams, as well as extensive sand-mining 413 activities (e.g., extraction volume reaching 7.75×10^6 m³ in 2011 (Bravard et al., 414

2013)) and the shift in tropical cyclone activities (Darby et al., 2016), would reduce
sedimentation drastically in the MKD (ICEM, 2010; Kondolf et al., 2014; Manh et al.,
2015). Decreasing sedimentation has led to an increase of shoreline erosion (Anthony
et al., 2015) and amplification of land subsidence, causing SWI into SGW laterally
from the sea.

420 **3.7 Rapid development of aquaculture**

Rice farming systems used to be dominant in the MKD but large amounts of 421 cultivated lands were converted from paddy rice to marine aquaculture over the last 422 twenty years due to the rapid development of shrimp aquaculture (Hagenvoort and Tri, 423 424 2013). The MKD is one of the primary shrimp-producing areas in Vietnam and Vietnam ranked 5th and 3rd among those shrimp-producing countries in the world in 425 the years of 1999 and 2004^3 with a shrimp production of more than 290,000 t. High 426 profitability and generation of foreign exchange are to blame for the major driving 427 428 forces of rapid expansion of shrimp farming (Hens et al., 2009). Rapid development 429 of aquaculture requires large amounts of freshwater to be extracted from DGW for mixing with seawater for shrimp cultivation, further aggravating the problems of 430 groundwater over-exploitation and the extent of SWI into DGW laterally from the sea. 431 Moreover, seepage of saltwater from shrimp culture ponds can cause SWI into soil 432 and SGW (Rahman et al., 2019). 433

434

435 **4. Major knowledge gaps and challenges**

Groundwater plays a more and more important role in providing freshwater supply for 436 agricultural, aquacultural, industrial, and domestic utilizations in the MKD (Ha et al., 437 2018). However, SWI and the subsequent groundwater quality degradation have been 438 widely recognized as the most serious and compelling problems. So far, investigations 439 and evaluations of the extent of SWI are limited, and little research has been 440 441 conducted regarding the contributions from human activities and climate change 442 impacts. Based on current research findings, major knowledge gaps and challenges 443 are identified and described herein.

- 444 Firstly, it is the top priority to: 1) realize whether the silt and clay aquitard located
- between the qh and the qp_3 aquifer throughout the entire MKD can prevent downward
- 446 SWI from salinized surface water and shallow groundwater into DGW; and 2)
- 447 determine the locations and dimensions of existing hydraulic windows breaching the
- silt and clay aquitard that can provide pathways for transmitting salinized surface

³ http://www.fao.org/fishery/topic/18042/en

449 water and shallow groundwater to DGW directly. Hoang and Bäumle (2019) have

- 450 conducted environmental isotopic studies and demonstrated that the presence of the
- silt and clay aquitard in Soc Trang Province (one of the 13 provinces within the MKD)
- 452 is potent enough to prevent downward SWI into DGW. However, the characteristics
- 453 of the silt and clay aquitard in the other 12 provinces within the MKD remain
- unknown. To bridge current knowledge gap, future work should apply environmental
- 455 isotope techniques in combination with borehole tests to gain detailed
- 456 hydrogeological information regarding spatial variation of permeability and thickness
- 457 of the silt and clay aquitard in other places in the MKD.

Secondly, it is of great importance to delineate the present-day highly heterogeneous 458 3D distribution of saline groundwater zones in the MKD. The national groundwater 459 monitoring network comprised of over 200 monitoring wells maintained by the 460 Division for Water Resources Planning and Investigation in the South of Vietnam 461 (DWRPIS) and its subdivisions since the 1990s can serve as an important information 462 source. However, observed data collected periodically from these monitoring wells 463 are inadequate. To bridge current knowledge gap, future work should make great 464 465 efforts to intensify regular groundwater monitoring and collect as much groundwater samples from multiple hydro-stratigraphic units at different depths as possible to 466 467 visualize the present-day highly heterogeneous 3D distribution of saline groundwater in the MKD. 468

Thirdly, it is essential to predict future 3D distribution of saline groundwater by 469 470 depicting the dimension and tracking the migration of freshwater/saltwater transition zone for recognizing the dominant factor causing future SWI into coastal MKD 471 groundwater systems. To achieve optimum predictive results, numerical models 472 capable of simulating 3D coastal groundwater flow and salt transport under 473 variable-density conditions should be developed. Shrestha et al. (2016) used to 474 develop a groundwater flow model to predict the changes in groundwater level and 475 groundwater storage under future climate change in the MKD, indicating that meters 476 to tens of meters decline of groundwater levels in various aquifers as well as a total of 477 120–160 million m³ decline of groundwater storage can be expected by the end of the 478 21st century. Besides, Pham et al. (2019) developed a long-term 2D (NW-SE transect) 479 groundwater flow and salt transport model to reproduce the characteristics of the 480 historical and present-day fresh and saline groundwater dynamics in the MKD for 481 482 better understanding of groundwater evolution and aquifer salinization processes from the late Pleistocene. To bridge current knowledge gap, future work should develop a 483 series of variable-density coupled groundwater flow and salt transport models 484 representing various scenarios of human activities and climate change for predicting 485 future extent of saltwater intrusion in the MKD, since numerical method has become 486 the most frequently used approach to solve SWI problems worldwide (Barlow and 487 Reichard, 2010; Bocanegra et al., 2010; Custodio et al., 2010; Shi and Jiao, 2014; 488 Steyl and Dennis, 2010; Werner, 2010; White and Falkland, 2010). 489

Fourthly, it is indispensable to determine the most effective and economical control 490 measure to mitigate SWI and secure fresh groundwater resources in the MKD. In 491 recent years, various traditional and innovative engineering techniques have been 492 applied worldwide for solving the problems of SWI into coastal aquifers. For those 493 cases of pumping- and sea-level-rise-induced SWI, reducing groundwater withdrawal 494 495 rate and closure or landward relocation of coastal extraction wells, as well as installation of recharge wells parallel to the shoreline, are usually adopted for 496 maintaining groundwater levels and generating submarine groundwater discharge to 497 build up effective hydraulic barriers to prevent saltwater encroachment. For those 498 cases of land-subsidence- and storm-surge-induced SWI, construction and 499 maintenance of coastal defense structures such as sea-dykes are usually adopted for 500 stabilizing beach and shoreline to prevent storm tides from reaching and passing over 501 502 the crest causing coastal lowlands inundation and saltwater encroachment. In addition to these traditional approaches, innovative approaches such as developing an aquifer 503 storage and recovery system storing freshwater in aquifers when supply exceeds 504 demand during the wet season while extracting freshwater from aquifers when 505 506 demand exceeds supply during the dry season have been applied as well. To bridge 507 current knowledge gap, future work should first identify the dominant factor causing future SWI, then determine the most effective and economical engineering technique 508 509 to address SWI problems in the MKD.

510

511 **5. Conclusion**

In recent years, groundwater quality degradation caused by SWI has been recognized 512 as an important issue and great progress has been made in understanding the pathways 513 514 of SWI and the impacts of human activities and climate change on affecting the extent of SWI into the SGW and DGW in the MKD. However, existing knowledge gaps and 515 challenges greatly limit the development of SWI research in the MKD, including 516 locations and dimensions of existing hydraulic windows breaching the silt and clay 517 aquitard, present-day highly heterogeneous 3D distribution of saline groundwater 518 zones, future 3D distribution of saline groundwater, and most effective and 519 economical control measure to mitigate SWI. In future, observed data from 520 monitoring wells supplemented with borehole geophysical data are required to 521 characterize the highly heterogeneous hydrogeological conditions and 3D distribution 522 of saline groundwater, and variable-density coastal groundwater flow and salt 523 transport models incorporating the details of hydro-climatic and hydrogeological 524 conditions are required to be developed to predict future extent of SWI into coastal 525 MKD groundwater systems for determination of optimized strategies of pumping 526 527 schemes for sustainable utilizations of groundwater resources in the MKD while mitigating the extent of SWI in the meanwhile. 528

- 529 The fragile ecosystems of the Tibetan Plateau where the upper reaches of the Mekong
- 530 River locate are sensitive to climate change, and the critical link between the source
- of the Mekong River at the Tibetan Plateau and the mouth of the Mekong River at the
- 532 MKD is threatened to be weakened resulting from climate change. With the
- awareness of climate change and its consequences to the Tibetan Plateau region and
- beyond, scientific communities must be empowered with a pro-active role in
- 535 designing resilience for addressing challenges caused by climate change and
- 536 supporting sustainable development.

537 Declarations of competing interest

- 538 The authors declare no conflict of interest.
- 539

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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: